

Transcriptomic profiling of cerebrospinal fluid identifies ALS pathway enrichment and RNA biomarkers in MND individuals

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Impact Statement

In this study, we describe significant expression profile changes and enrichment of key ALS pathways in MND individuals compared to healthy controls using RNA-sequencing data. We demonstrate that protein biomarkers in CSF are reflected by their corresponding increased expression in CSF RNA-sequencing profiles highlighting CSF RNA data as a valuable resource for biomarker development. We also suggest another potential biomarker in form of the *COPA* gene which plays important roles in protein transport between endoplasmic reticulum and Golgi apparatus, a key pathway in ALS pathology. Overall, this study highlights the importance of studying RNA-sequencing data for both biomarker development and complementation of CSF proteomic analysis.

Abstract

Amyotrophic lateral sclerosis (ALS) is a fatal neurodegenerative disorder and the most common form of motor neurone disease (MND) which is characterized by the damage and death of motor neurons in the brain and spinal cord of affected individuals. Due to the heterogeneity of the disease, a better understanding of the interaction between genetics and biochemistry with the identification of biomarkers is crucial for therapy development. In this study, we used cerebrospinal fluid (CSF) RNA-sequencing data from the New York Genome Center (NYGC) ALS Consortium and analyzed differential gene expression between 47 MND individuals and 29 healthy controls. Pathway analysis showed that the affected genes are enriched in many pathways associated with ALS, including nucleocytoplasmic transport, autophagy, and apoptosis. Moreover, we assessed differential expression on both gene- and transcript-based levels and demonstrate that the expression of previously identified potential biomarkers, including *CAPG*, *CCL3*, and *MAP2*, was significantly higher in MND individuals. Ultimately, this study highlights the transcriptomic composition of CSF which enables insights into changes in the brain in ALS and therefore increases the confidence in the use of CSF for biomarker development.

Keywords: Cerebrospinal fluid, amyotrophic lateral sclerosis, motor neurone disease, transcriptome, RNA-seq, biomarker

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Introduction

Motor neurone disease (MND), the most common form being amyotrophic lateral sclerosis (ALS), is a rare progressive neurodegenerative disease which results in the damage and death of motor neurons in the brain and spinal cord of affected individuals. This degeneration of neurons leads to the weakening and stiffening of muscles, which eventually results in individuals losing the ability to walk and breathe with sufferers dying between three and five years following symptom onset.^{1,2} Starting with the discovery of pathogenic variants in *SOD1*, *FUS*, *TARDBP*, and *C9ORF72*, there are now over 100 genes associated with the disease which reflects the heterogeneity of ALS.^{3–6} Several pathological mechanisms, such as oxidative stress, inflammation, protein

aggregation, impairment of autophagy, or RNA processing and aberrant retrotransposon function, have been hypothesized to be involved in disease pathogenesis.^{7–9} Therefore, a better understanding of the interaction between genetics and biochemistry including the identification of biomarkers is crucial to progress therapy development and effective clinical trial design by allowing stratification of cohorts into more homogeneous groups. The focus on biomarker development has shifted to the use of cerebrospinal fluid (CSF) due to its contact with the borders/extracellular space of the brain and neuroglia cell enriched composition which facilitates diagnosis and monitoring of diseases, as previously shown by the increased application in diagnosis of Alzheimer's disease (AD).^{10,11} ALS-specific biomarkers are urgently needed

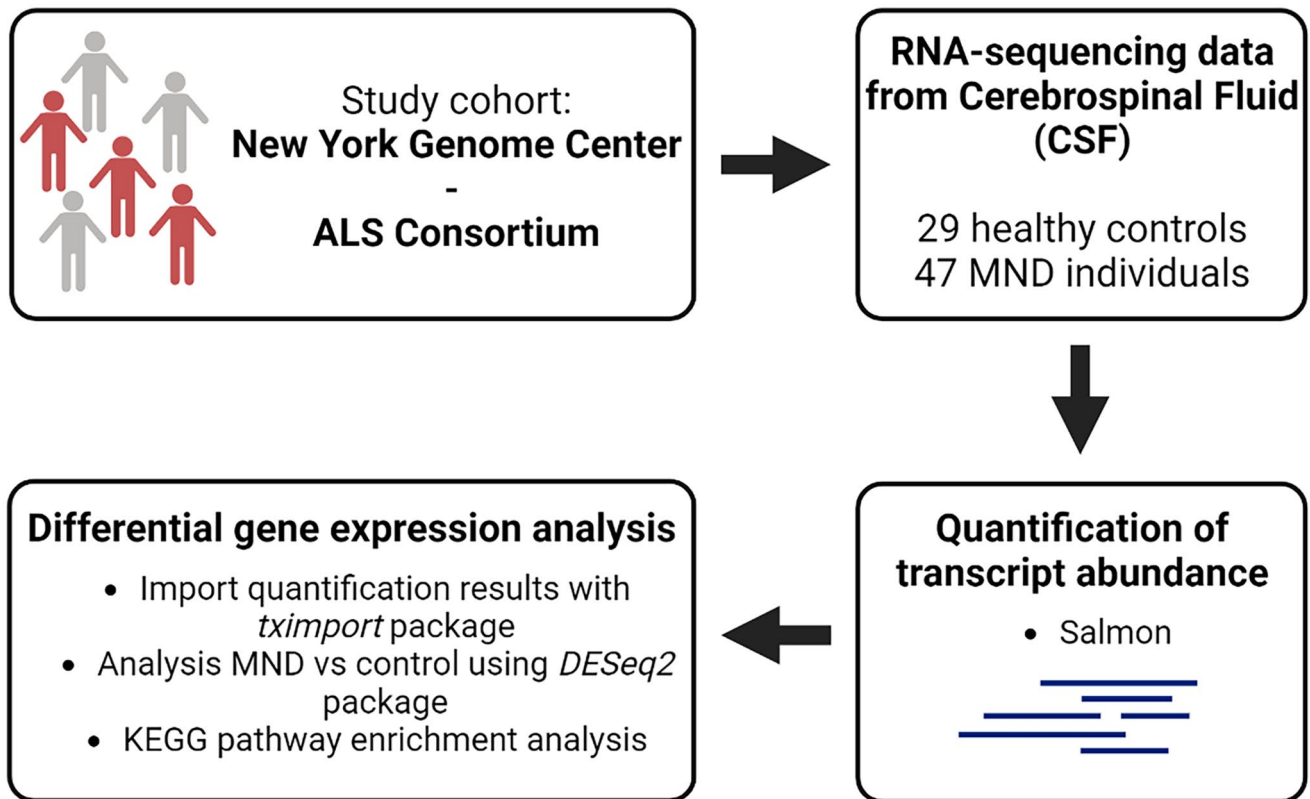


Figure 1. Overview of study. In this study, transcriptomic data set from the New York Genome Center ALS Consortium was used. RNA-seq data from cerebrospinal fluid of 47 MND and 29 healthy control individuals were available. The aim of the study was to perform differential gene expression analysis between MND and control individuals with a focus on biomarker expression and explore enriched pathways.

for the early confirmation of ALS; to date several proteomic approaches and immunoassays having been developed and several molecules have been identified with the potential to act as progression and prognosis biomarkers.¹² These include, for example, neurofilaments, proteins implicated in neuroinflammation, cytokines, chemokines, and cytoplasmic protein indicators, including TDP-43 which is a hallmark of ALS pathology.^{3,12} However, large-scale studies are still needed to validate the use of these potential biomarkers and to correlate corresponding biomarker concentrations with medical status and progression of ALS. Furthermore, as yet, CSF RNA-sequencing analysis has not been explored as a source for identification of novel biomarkers in ALS/MND, we hypothesize it will provide both transcriptomic information that overlaps with the previous proteomic approaches employed but also provide further unique insights and potential biomarkers.

In this study, we made use of transcriptomic data from CSF of 47 MND and 29 healthy control individuals from the New York Genome Center (NYGC) ALS Consortium (Figure 1). The aim was to assess differential expression of genes encoding previously determined ALS biomarkers (extensively reviewed in Dreger et al.¹²) between individuals with MND and controls and to integrate that data into pathway analysis which has not been commonly studied within neurological conditions such as MND (Figure 1). We demonstrated that the differentially expressed genes were enriched in many pathways associated with ALS, including nucleocytoplasmic transport, autophagy, and apoptosis.

In addition, the expression of previously identified potential CSF biomarkers, including *CAPG*, *CCL3*, and *MAP2* among others,¹² was significantly higher in MND individuals. The results of this study demonstrate that protein or immunological biomarkers in CSF are often correlated with a corresponding increased expression in CSF RNA-sequencing data highlighting CSF RNA data as a valuable resource for biomarker development and potentially expanding or complementing those identified from proteomic studies.

Materials and methods

Overview of study

In this study, we used transcriptomic data from the NYGC ALS Consortium cohort (<https://www.nygenome.org/als-consortium/>). We analyzed CSF data from 47 MND and 29 age-matched healthy control individuals. MND individuals include 45 classic/typical ALS subjects complemented by one case of primary lateral sclerosis and one case of progressive muscular atrophy. CSF from MND and neurologically normal controls was collected at Stony Brook University Hospital. Healthy control individuals were recruited to participate in the study as part of a hip or knee arthroplasty, whereby patients gave consent to collect CSF.

Differential transcriptome and pathway analysis

To evaluate the changes in the transcriptome and transcriptional involvement in disease pathways, we performed

Table 1. Enriched KEGG pathways from CSF in MND individuals compared to healthy controls. The gene ratio indicates the number of differentially expressed genes associated with the corresponding pathway divided by the total number of differentially expressed genes (6292).

ID	Description	Gene ratio	P-adjusted
hsa04510	Focal adhesion	184/6292	4.03E-06
hsa05016	Huntington's disease	266/6292	2.90E-05
hsa04919	Thyroid hormone signaling pathway	112/6292	6.56E-05
hsa04144	Endocytosis	219/6292	9.35E-05
hsa05014	Amyotrophic lateral sclerosis	310/6292	9.35E-05
hsa05131	Shigellosis	215/6292	1.21E-04
hsa05017	Spinocerebellar ataxia	129/6292	1.81E-04
hsa05012	Parkinson's disease	229/6292	2.73E-04
hsa05208	Chemical carcinogenesis – reactive oxygen species	194/6292	2.78E-04
hsa01240	Biosynthesis of co-factors	136/6292	4.94E-04
hsa05132	Salmonella infection	214/6292	5.15E-04
hsa05020	Prion disease	233/6292	5.91E-04
hsa04666	Fc gamma R-mediated phagocytosis	89/6292	5.96E-04
hsa04974	Protein digestion and absorption	94/6292	5.96E-04
hsa04142	Lysosome	118/6292	6.50E-04
hsa03013	Nucleocytoplasmic transport	98/6292	6.52E-04
hsa05022	Pathways of neurodegeneration – multiple diseases	393/6292	8.40E-04
hsa04814	Motor proteins	165/6292	8.40E-04
hsa05010	Alzheimer's disease	319/6292	1.56E-03
hsa05100	Bacterial invasion of epithelial cells	71/6292	1.81E-03
hsa04110	Cell cycle	137/6292	1.91E-03
hsa04218	Cellular senescence	136/6292	2.09E-03
hsa05415	Diabetic cardiomyopathy	174/6292	2.09E-03
hsa04918	Thyroid hormone synthesis	69/6292	2.29E-03
hsa05166	Human T-cell leukemia virus 1 infection	189/6292	2.31E-03
hsa01521	EGFR tyrosine kinase inhibitor resistance	72/6292	3.34E-03
hsa04211	Longevity regulating pathway	80/6292	4.69E-03
hsa04072	Phospholipase D signaling pathway	128/6292	5.72E-03
hsa04152	AMPK signaling pathway	106/6292	5.72E-03
hsa05135	Yersinia infection	119/6292	5.72E-03
hsa05171	Coronavirus disease – COVID-19	195/6292	6.43E-03
hsa05222	Small cell lung cancer	82/6292	6.43E-03
hsa04360	Axon guidance	155/6292	6.43E-03
hsa04724	Glutamatergic synapse	100/6292	6.43E-03
hsa00830	Retinol metabolism	62/6292	6.55E-03
hsa05215	Prostate cancer	86/6292	6.55E-03
hsa03018	RNA degradation	71/6292	7.47E-03

differential gene expression analysis by comparing the MND data to controls. Quantification of transcriptomic data from the NYGC ALS consortium cohort on a gene- and transcript-based level was performed using the *Salmon* tool (<https://salmon.readthedocs.io>). The *tximport* function from the *tximport* package¹³ was used to import salmon generated quantification files into *R*. Raw counts were extracted with the *DESeqDataSetFromTximport* function and normalized using the median-of-ratios method, specifically by dividing the raw counts by sample-specific size factors which represent the median ratio of gene counts to the geometric

mean per gene. Differential gene expression analysis was performed between MND and healthy control individuals using the *DESeq2* package in *R*.¹⁴ Resulting *P* values for differentially expressed genes and transcripts were adjusted by Benjamini and Hochberg False Discovery Rate (FDR) and only FDR-adjusted values < 0.05 were considered significant. After formal statistical comparison, KEGG (Kyoto Encyclopedia of Genes and Genomes) pathway enrichment analysis was performed using the *clusterProfiler* package in *R*¹⁵ and only *P*-adjusted (*P*-adj.) values ≤ 0.05 were considered as significant.

Results

Pathway analysis shows enrichment for ALS disease pathway

We used transcriptomic data from CSF and compared expression changes between MND and healthy control individuals (Figure 1). Following this analysis, we performed KEGG (Kyoto Encyclopedia of Genes and Genomes) pathway analysis which utilizes a database with integrated genomic, chemical, and systemic functional information¹⁶ to identify pathways associated with gene expression changes in the individuals with MND. This analysis revealed the enrichment of 37 pathways including multiple nervous system-associated pathways (Table 1). Interestingly, one of these pathways represents ALS with a gene ratio of 310/6292 (*P*-adj. = 9.35E-05). We expanded the analysis by generating an UpSet plot visualizing the association between affected genes and overlapping gene sets associated with certain pathways/diseases (Figure 2). Increased gene overlapping was detected between the neurodegenerative diseases: ALS, Parkinson's disease and Huntington's disease indicating common disease-related pathways were affected (Figure 2). However, 75 genes were solely associated with ALS pathway demonstrating the over-representation of affected genes in the pathway of interest (Figure 2). To further this analysis, we specifically looked at the effect of this differential gene expression data on the ALS pathway (Supplementary Data 4). This identified several genes involved in key disease pathways including nucleocytoplasmic transport, autophagy, apoptosis, regulation of actin cytoskeleton, or protein processing in endoplasmic reticulum which were up- or down-regulated in MND individuals compared to healthy controls (Supplementary Data 4). Interestingly, increased *TARDBP* expression encoding TDP-43, a hallmark of ALS pathology, was detected in MND individuals, as previously described (Supplementary Data 4).^{12,17}

Expression of ALS biomarkers is elevated in MND individuals from CSF transcriptomic data

Having demonstrated that differential gene expression in MND individuals is significantly associated with the enrichment of the ALS pathway (Table 1 and Figure 3), we next aimed to assess specific expression changes on a gene- and transcript-based level (Tables 2 and 3, Supplemental Data 1 and 2). The 20 most significant transcripts and genes are shown in Tables 2 and 3. We focused on changes in genes encoding previously characterized biomarkers, specifically capping actin protein, gelsolin like (*CAPG*) and C-C

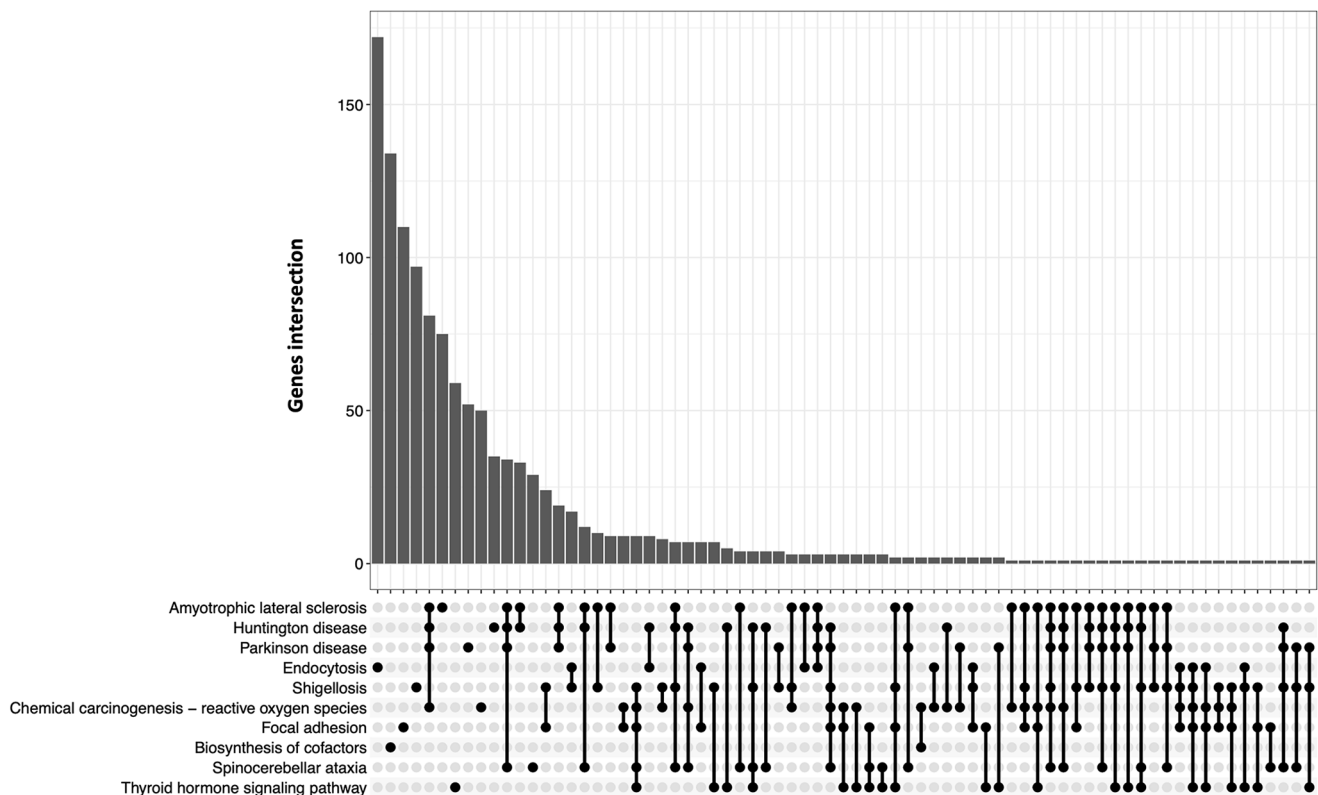


Figure 2. UpSet plot to visualize intersections of differentially expressed genes. The bar chart represents the size of the gene set. The number of differentially expressed genes for single (filled-in cells) and intersecting (filled-in cells with connecting lines) pathways/diseases is shown. A full list of genes affected in the corresponding disease/pathway is listed in Supplementary Data 3.

motif chemokine ligand 3 (*CCL3*) which showed significantly higher expression in MND individuals, with 2.02 ($P\text{-adj.}=0.0098$) and 1.32 ($P\text{-adj.}=0.014$) \log_2 fold changes ($\log_2\text{FC}$) obtained (Figure 3(A)). In addition, we demonstrated transcript-based changes in gene expression of potential ALS biomarkers. One of these represented microtubule-associated protein 2 (*MAP2*); we found that three transcripts (MAP2-215, MAP2-206, and MAP2-213) were significantly more highly expressed in MND individuals (Figure 3(B)); $\log_2\text{FC}$ of 2.43 ($P\text{-adj.}=2.71\text{E}-05$), 1.20 ($P\text{-adj.}=0.033$), and 1.07 ($P\text{-adj.}=0.04$), respectively, were obtained. Other transcripts significantly elevated in MND individuals encoded for the cytokine interleukin-10 (IL-10), including IL10-204 ($\log_2\text{FC}=1.74$, $P\text{-adj.}=0.00012$), IL10-206 ($\log_2\text{FC}=1.47$, $P\text{-adj.}=0.013$), IL10-205 ($\log_2\text{FC}=1.43$, $P\text{-adj.}=0.0062$), the vascular endothelial growth factor (VEGF), including VEGF-206 ($\log_2\text{FC}=1.38$, $P\text{-adj.}=0.0024$), and the proteins chitinase-3 like 2/*CHI3L2* (*CHI3L2*-214, $\log_2\text{FC}=1.17$, $P\text{-adj.}=0.033$) and glycoprotein NMB/*GPNMB* (*GPNMB*-206, $\log_2\text{FC}=1.76$, $P\text{-adj.}=0.00079$), involved in inflammatory processes (Figure 3(B)). It should be noted that some of these transcripts (MAP2-215, MAP2-213, IL10-204, and *GPNMB*-206) are alternatively spliced transcripts of a protein-coding gene for which the coding sequence has not been defined yet. However, these data confirm the validity of using CSF for biomarker discovery in ALS and illustrate the plethora of expression changes on a gene- and isoform-based level obtained from RNA-sequencing data of CSF. In addition, the top hits obtained in this analysis, including the transcript

ENST00000648280 (*COPA*-212, $P\text{-adj.}=6.06\text{E}-13$), have not been investigated as biomarkers to date.

Discussion

To date, there is no cure for ALS, highlighting the urgent need to understand the interaction between genetics and biochemistry including the identification of biomarkers. Advances in the development of biomarkers would help to deepen the knowledge both of the preclinical disease phase and to progress therapy development and design of effective clinical trials by stratification of patients into more homogeneous groups.

Several CSF protein biomarkers have already been established for ALS such as neurofilaments, synucleins, or tau.^{12,18,19} Recent studies including proteomic analyses have identified further novel biomarkers for ALS which include MAP2, CAPG, and *GPNMB* plus others involved in neuroinflammation (*CHI3L2*) or with neuroprotective roles (VEGF).^{12,20}

CHI3L2 is part of the chitinase-like proteins and secreted by astrocytes/microglia. This protein may lead to neuronal death in ALS as a direct correlation between its CSF concentration in ALS individuals, and disease progression rate was found.^{21,22} *CCL3*, also termed macrophage inflammatory protein 1 alpha, is involved in the accumulation of microglia and has functions in inflammatory responses and therefore indicates neuroinflammation in ALS.¹² *CCL3* has been shown to inversely correlate with disease progression

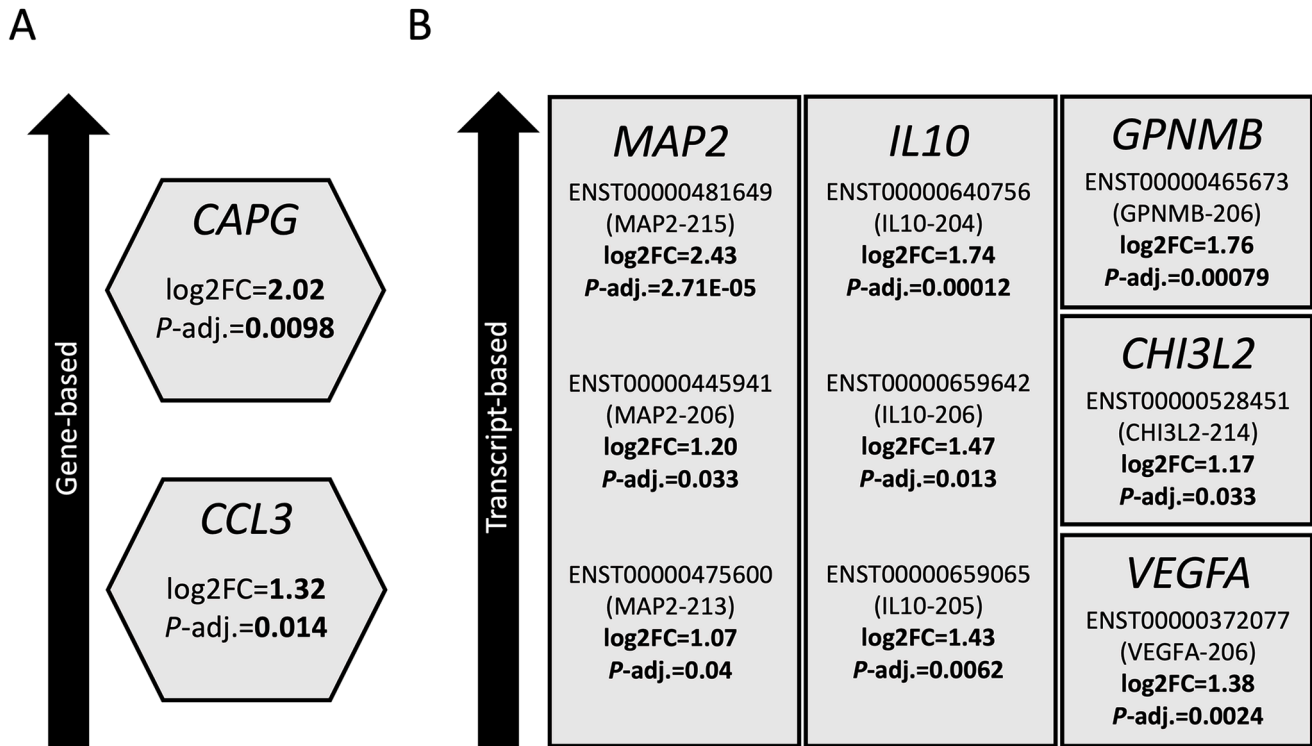


Figure 3. Several genes encoding potential biomarker proteins are significantly upregulated in CSF from MND individuals. Differential gene expression analysis was performed using transcriptomic data sets from 47 MND and 29 healthy control individuals. Expression changes were analyzed on a gene (A)- and transcript (B)-based level. Several genes which have been previously identified to encode potential biomarker proteins were significantly more highly expressed in MND individuals. Log₂ fold changes (log₂FC) and *P*-adjusted values are indicated. For transcript-based analysis, corresponding transcript IDs are shown.

Table 2. Differentially expressed transcripts from MND CSF. Top 20 hits are shown.

Transcript ID	Transcript name	<i>P</i> -adjusted
ENST00000648280	COPA-212	6.06E-13
ENST00000513295	CEP63-210	2.07E-11
ENST00000659698	LINC00467-214	2.67E-11
ENST00000409039	DNAH10-201	9.14E-11
ENST00000328843	DNAH11-201	1.11E-10
ENST00000493526	RHBDD1-214	1.09E-09
ENST00000628444	LINC02203-204	1.39E-09
ENST00000422452	TENM1-202	1.65E-09
ENST00000444250	ECT2-212	2.27E-09
ENST00000590416	LSM14A-208	4.79E-09
ENST00000476513	APOBEC3F-203	6.37E-09
ENST00000646052	RP11-114N19.3-002	6.46E-09
ENST00000432504	TMEM50B-202	8.99E-09
ENST00000492563	DCUN1D1-206	9.26E-09
ENST00000410031	TBC1D14-202	9.94E-09
ENST00000566391	ARL6IP1-206	1.11E-08
ENST00000531607	CHEK1-210	1.11E-08
ENST00000511794	LINC02057-202	1.11E-08
ENST00000406359	TEK-202	1.12E-08
ENST00000532638	POU2F3-203	1.43E-08

Table 3. Differentially expressed genes from MND CSF. Top 20 hits are shown.

Gene ID	Gene name	<i>P</i> -adjusted
ENSG00000140688	RUSF1	3.31E-11
ENSG00000128283	CDC42EP1	2.70E-09
ENSG00000185220	PGBD2	2.70E-09
ENSG00000231183	AC007003.1	3.03E-09
ENSG00000277741	GOLGA6L17P	6.21E-09
ENSG00000114349	GNAT1	1.06E-08
ENSG00000216360	RP1-182O16.2	1.09E-08
ENSG00000231784	DBIL5P	1.27E-08
ENSG00000266777	SH3GL1P1	1.40E-08
ENSG00000230701	FBXW4P1	1.73E-08
ENSG00000256420	OSBPL9P4	1.77E-08
ENSG0000009724	MASP2	1.82E-08
ENSG00000162191	UBXN1	1.82E-08
ENSG00000260123	CARMAL	1.82E-08
ENSG00000110665	C11orf21	6.08E-08
ENSG00000287398	RP11-642N14.4	6.08E-08
ENSG00000280432	AP000962.1	8.54E-08
ENSG00000287379	RP1-296G17.4	1.06E-07
ENSG00000204934	ATP6V0E2-AS1	1.82E-07
ENSG00000256500	RP11-73M18.2	1.99E-07

rate.²³ Other proteins, including CAPG and GPNMB, have also been associated with inflammatory processes.^{20,24,25} More specifically, *GPNMB* expression has been linked to neurodegeneration by the observation that ALS patients were characterized by a shorter survival time with high *GPNMB* CSF levels and the correlation with the disease

severity Amyotrophic Lateral Sclerosis Functional Rating Scale (ALSFRS) score.²⁰ Tanaka *et al.*²⁶ confirmed the increased *GPNMB* levels in the CSF. *MAP2* is part of the family of microtubule-associated proteins which have crucial roles in modulating the microtubule network. Oeckl and colleagues showed a significant increase of *MAP2* in the

CSF of ALS individuals and the potential to act as a marker of motor neuron degeneration.²⁰ It has been demonstrated that MAP2 can induce neurites,²⁷ and the corresponding increased MAP2 expression in the CSF may be an adjustment following axonal loss. Therefore, MAP2 could act as a marker of motor neuron loss. The cytokine VEGF has been associated with faster disease progression (and shorter survival) in patients with lower VEGF levels which may therefore represent a positive prognostic measure.²³ Interestingly, VEGF CSF levels positively correlated with levels of PaO₂ in ALS individuals, which suggests a hypoxia response dysfunction in ALS patients.²⁸

Our data are consistent with previous CSF level studies, confirming the findings by transcriptomic data analysis focused on the expression of the corresponding gene targets. By doing so, it also conferred greater confidence in the other novel targets; we identified thus highlighting the utility/validity of transcriptomic analysis in such studies.

Transcriptomic analysis of CSF is not commonly performed in neurological conditions, and here, we provide new data analyzing RNA-sequencing data from 47 MND and 29 healthy control individuals and assessing expression changes between the two groups. Utilizing data derived from this biofluid, we demonstrated on the gene-based level that *CAPG* expression was significantly higher in MND individuals ($\log_2\text{FC}=2.02$, $P\text{-adj.}=0.0098$) (Figure 3(A)). Furthermore, MND individuals showed a 1.32 $\log_2\text{FC}$ increase ($P\text{-adj.}=0.014$) in *CCL3* which is line with previously reported elevated levels of the protein in ALS CSF.^{12,23,29} Moreover, our study highlighted transcript-specific changes in isoform expression of ALS biomarkers, for example, for MAP2, three transcripts (MAP2-215, MAP2-206, and MAP2-213) were significantly more highly expressed in MND individuals (Figure 3(B)), and $\log_2\text{FC}$ of 2.43 ($P\text{-adj.}=2.71\text{E}-05$), 1.20 ($P\text{-adj.}=0.033$), and 1.07 ($P\text{-adj.}=0.04$) were obtained. These results highlight the plethora and specificity of transcriptomic changes obtained from CSF transcriptomic data sets which can be a tool to confirm advances in biomarker development and their involvement in specific signaling pathways. In this study, the gene demonstrating the greatest difference in cases versus controls was a specific protein-coding transcript of the gene *COPA* (ENST00000648280) which was significantly more highly expressed in MND individuals ($\log_2\text{FC}=3.98$, $P\text{-adj.}=6.06\text{E}-13$) compared to controls. This gene encodes the COP1 protein which has been shown to be involved in protein transport between endoplasmic reticulum and Golgi apparatus, with gene mutations being associated with an inflammatory syndrome showing lung, renal, and joint involvement.^{30,31}

High sensitivity of measurement is crucial to detect small changes in a low concentration range; thus, this sensitive RNA-seq analysis may complement proteomic analysis to support biomarker development. This view is reinforced by the KEGG pathway enrichment analysis which demonstrated that the differentially expressed genes were enriched in the ALS pathway (hsa05014, gene ratio 310/6292, $P\text{-adj.}=9.35\text{E}-05$), with numerous pathways involved in ALS pathology affected, including nucleocytoplasmic transport, autophagy, apoptosis, regulation of actin cytoskeleton, or protein processing in endoplasmic reticulum (Supplementary Data 4). We were able to detect

significant changes in RNA expression for known CSF biomarker proteins which confirms CSF RNA-seq is a suitable method for this type of analysis. CSF analysis presents several challenges: CSF is challenging to collect, and it is inconvenient and has risks as a procedure; however, CSF contains cell-free RNA, and this can be analyzed by modern sensitive analytical tools. Future additional larger and longitudinal studies utilizing CSF are needed to validate and reproduce these findings and to increase precision of biomarker development. Another limitation of the study is that the cut-off for the transcript-based level has not been identified yet and this limits the use of these as biomarkers.

AUTHORS' CONTRIBUTIONS

AF and SK contributed to the conceptualization, methodology, and formal analysis. AF, ALP, VJB, JPQ, and SK contributed to the data interpretation. AF contributed to the writing – original draft preparation. AF, ALP, VJB, JPQ, and SK contributed to the writing – review and editing. All authors have read and agreed to the published version of the manuscript.

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DECLARATION OF CONFLICTING INTERESTS

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SUPPLEMENTAL MATERIAL

Supplemental material for this article is available online.

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