

CD9, a tetraspanin target for cancer therapy?

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Impact statement

Over the last three decades, the relevance of CD9 as a tumor-associated antigen has been evidenced by numerous studies describing its potential role as a prognostic marker and its involvement in cancer progression. However, CD9 has not been targeted in cancer due to its major side effects, particularly platelet aggregation and thrombosis. The discovery of additional roles of CD9 as part of plasma membrane protrusions and extracellular vesicles and the development of new antibodies, including monovalent Fabs and possibly nanobodies, devoid of platelet-related adverse effects, open a new opportunity to reconsider CD9 as a potential therapeutic target.

Abstract

In the present minireview, we intend to provide a brief history of the field of CD9 involvement in oncogenesis and in the metastatic process of cancer, considering its potential value as a tumor-associated antigenic target. Over the years, CD9 has been identified as a favorable prognostic marker or predictor of metastatic potential depending on the cancer type. To understand its implications in cancer beside its use as an antigenic biomarker, it is essential to know its physiological functions, including its molecular partners in a given cell system. Moreover, the discovery that CD9 is one of the most specific and broadly expressed markers of extracellular membrane vesicles, nanometer-sized entities that are released into extracellular space and various physiological body fluids and play a role in intercellular communication under physiological and pathological conditions, notably the establishment of cancer metastases, has added a new dimension to our knowledge of CD9 function in cancer. Here, we will discuss these issues as well as the possible cancer therapeutic implications of CD9, their limitations, and pitfalls.

Keywords: Antibody, CD9, tetraspanin, cancer, immunotherapy, exosome, extracellular vesicle

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Introduction

Originally discovered in lymphohematopoietic progenitor and acute lymphoblastic leukemia cells,^{1,2} the cluster of differentiation 9 (CD9, tetraspanin-29 (TSPAN29), Motility-Related Protein-1, Leukemia-Associated Cell Surface Antigen p24) is expressed in multiple hematopoietic and non-hematopoietic tissues and cell types, including epithelial, endothelial, and stromal cells as well as most types of malignant cells.^{3–5} Depending on the cell type and its interacting partners, CD9 is involved in numerous cellular processes such as cell–cell contact, cell–extracellular matrix interaction, integrin-dependent cell migration, signaling, membrane fusion, apoptosis, inflammation, proliferation, and differentiation.^{6–8} In cancer, CD9 has various impacts, either as a tumor suppressor or a promoter of tumorigenic/metastatic activities.⁹ It has been shown that many steps of the metastatic cascade, such as primary

tumor evasion, intravasation, extravasation, colonization and growth of secondary tumors, are influenced by CD9 expression.¹⁰ CD9 may also facilitate tumor angiogenesis and lymphangiogenesis.

CD9

CD9 is an integral 24–27 kDa membrane protein that belongs to the tetraspanin family, which contains 33 distinct proteins in humans. Structurally, tetraspanins have four transmembrane segments, one small and one large extracellular loop (domain EC1 and EC2, respectively) and short cytoplasmic N- and C-terminal domains.^{11,12} The human 228-amino acid protein CD9 holds a potential N-glycosylation site located in the EC1 domain.¹³ The EC2 domain (also known as the large extracellular loop (LEL)) contains four cysteine residues with two in a highly conserved Cys-Cys-Gly motif, resulting in the formation of disulfide

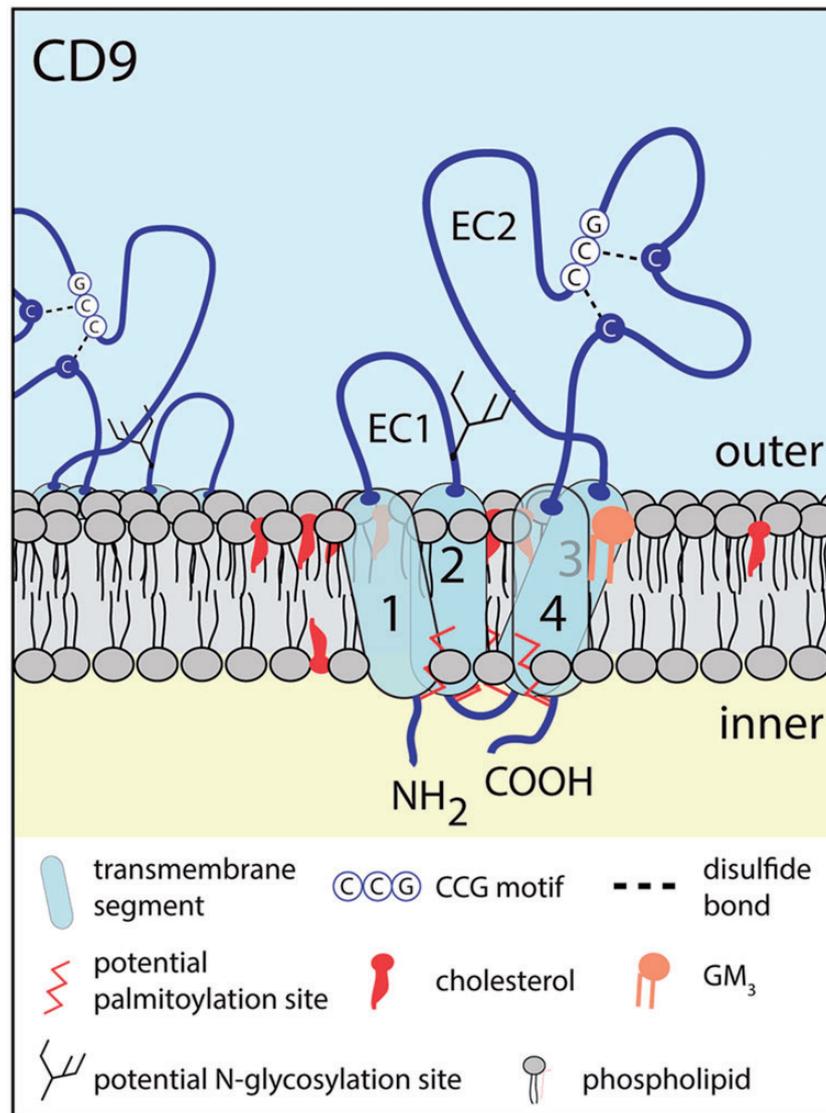


Figure 1. Membrane topology of CD9. The human CD9 protein contains four transmembrane segments (1–4), short cytoplasmic N- and C-protein termini, and two extracellular (EC) domains forming a short and a larger loop. The CD9 EC2 domain is properly folded with two disulfide bridges in which two cysteine residues are found in a conserved CCG motif among all tetraspanins. A potential N-glycosylation site is located in the EC1 domain, close to the second transmembrane domain. Several cysteine residues at the transition of the cytoplasmic domains and transmembrane segments are subject to palmitoylation. The outer and inner leaflets of the plasma membrane are shown. Therein, membrane cholesterol and GM₃ ganglioside could alter CD9 function. Note that the structure of CD9 is not represented with the appropriate scale.

bridges^{13,14} (Figure 1). The latter are crucial for the proper folding of the EC2 domain. As for the other tetraspanins, three α -helices within the CD9 LEL define a constant, well-conserved region involved in tetraspanin dimerization and oligomerization, whereas two other α -helices define a variable region, involved in most lateral interactions with other membrane proteins.³ Additional CD9 intra- and inter-molecular interactions are mediated by its conserved residues in transmembrane domains.^{15,16} The proper interactions and packing of transmembrane domains are essential for the folding and transport of tetraspanin proteins.¹⁷ Of note, the amino acid sequence of CD9 is well conserved between species.^{18–23} CD9 is found at the plasma membrane, endocytic compartment, nucleus, and small extracellular vesicles (EVs), which are released in various body fluids.

CD9 in tetraspanin web and plasma membrane

As an organizer of biological membranes, the multiple interactions of CD9 with other proteins such as tetraspanins (e.g. CD81/Tspan28 and CD151/Tspan24), various members of the immunoglobulin superfamily, in particular EWI-2 (also named IgSF8, CD316) and EWI-F (FPRP, CD9P-1, CD315), a subset of integrins (e.g. $\alpha 1\beta 1$, $\alpha 2\beta 1$, $\alpha 3\beta 1$ (VLA-3), $\alpha 4\beta 1$ (VLA-4), $\alpha 5\beta 1$, $\alpha 6\beta 1$ (VLA-6), $\alpha 7\beta 1$, $\alpha \text{IIb}\beta 3$) result in the formation of structural and functional units called tetraspanin-enriched microdomains (TEMs) or, more commonly, tetraspanin web.^{3,24–32} In contrast to lipid rafts,³³ TEMs are mainly generated by protein–protein interactions,^{11,12} which are modulated by differential protein expressions as well as post-translational modifications.

Membrane cholesterol could nevertheless play a role in the formation and stabilization of these membrane platforms.³⁴ Cross-talks between the TEMs and lipid rafts are not excluded.^{35,36} CD9 partners EWI-2 and EWI-F may link TEMs to the underlying actin cytoskeleton through their interactions with proteins of the ezrin-radixin-moesin (ERM) family.³⁷ Interaction, or a close contact, of CD9 with membrane lipids (e.g. cholesterol and gangliosides) could also occur,^{34,38,39} modulating its binding to protein interactors. It has been shown that GM₃ ganglioside promotes the interaction between CD9 and $\alpha 3$ integrins, which has an impact on laminin-5-dependent cell motility.⁴⁰ The high motility of cancer cells can be controlled by CD9-ganglioside-epidermal growth factor (EGF) receptor (EGFR) complex.⁴¹ The palmitoylation of CD9 could also influence its homo- or hetero-clustering with other partners.^{42,43} For example, non-palmitoylated forms of CD9, EWI-2 and EWI-F are frequently observed in cancer.⁴⁴ Due to their protein composition, TEMs regulate cell membrane plasticity and influence intercellular and extracellular matrix interactions. Therefore, a downregulation or an upregulation of CD9 can modify the TEM organization, thereby altering cellular properties, including those involved in cancer progression and metastasis such as adhesion and motility as well as fusogenicity, among others.

Cell surface CD9 plays a significant role in various processes regulating cellular trafficking such as the immune response. CD9 is expressed in all major types of leukocytes including B and T cells, natural killer (NK) cells, macrophages, and dendritic cells (reviewed in Reyes *et al.*³). CD9 supports integrin-mediated signaling at the T cell immunological synapses, as demonstrated by its silencing, which affects the subcellular localization of integrins and alters their downstream targets; the focal adhesion kinase and extracellular signal-related kinase 1/2.⁴⁵ Furthermore, its association with receptors for the Fc-gamma region of IgG (Fc γ) modifies the signals for phagocytosis and inflammatory responses on macrophages.⁴⁶ It is also highly expressed by endothelial cells in line with its crucial role in trans-endothelial migration. The inclusion of CD9 and its partners such as the vascular cell adhesion molecule-1 (VCAM-1, CD106) and intercellular adhesion molecule-1 (ICAM-1, CD54) or other immunoglobulin-superfamily members in TEMs contribute to these roles.⁴⁷ The adhesive function of transmembrane glycoprotein activated leukocyte cell adhesion molecule (ALCAM, CD166) is mediated by CD9 through its *cis* interaction in a protein complex that includes the metalloproteinase ADAM17 (also named tumor necrosis factor- α converting enzyme).⁴⁸ CD9 favored the homotypic ALCAM interactions as well as the upregulation of ALCAM at the cell surface by the inhibition of the sheddase activity of ADAM17.⁴⁹ Thus, CD9-mediated ALCAM-ALCAM interactions modulate interactions between leukocytes (or cancer cells) with endothelial cells, and hence participate in physiological and pathological cell migration, the latter being an important step in cancer and in the development of metastases.^{50,51} CD9 associates also with transmembrane transforming growth factor (TGF)- α and regulates TGF- α -induced EGFR activation and

cell proliferation.⁵² Notably, the association of CD9 with transmembrane protein TGF- α decreases the ectodomain shedding and the release of soluble TGF- α , and their co-expression confers changes (i) in cytoskeletal organization such as a decrease in actin stress fibers and focal adhesions and (ii) in RhoA and Rac1 GTPase activities. These alterations are reversed by inhibiting the EGFR signaling.⁵³ Moreover, CD9 forms complexes with EGFR and $\beta 1$ integrin that lead to their colocalization on the cell surface, especially at cell-cell contact sites (see below).⁵⁴

Within the plasma membrane, CD9 as other tetraspanins (e.g. CD81, CD82) is often concentrated in particular subdomains that have in common to protrude, such as microvillar-like structures and other types of highly curved plasma membrane protrusions (PMPs), including filopodia^{46,55-58} (Figure 2). The crystal structure of CD9 and the cryo-electron microscopic structure of CD9 in complex with EWI-2 have revealed that the reversed cone-like molecular shape of CD9 could generate membrane curvature, which explains its specific subcellular localization in tubular structures such as PMPs.^{59,60} Interactions of CD9 with EWI-2/EWI-F-ERM proteins can regulate the formation of microvilli, among others. Since some PMPs are involved in cellular functions such as adhesion, migration, fusion and intercellular communication, the association of CD9 (and other tetraspanins) with these protruding structures somehow explains their influence on various biological processes. For example, we and others have shown that CD9 silencing modifies the microvillus architecture and the leading edge of lamellipodium (see below), which in turn can influence cellular interactions and migration.^{61,62} The absence of CD9 in leukocytes resulted in the inhibition of microvillus formation, which reduced adhesion and trans-endothelial migration.⁶¹ In such cellular system, microvilli host integrins and integrin-associated proteins that play a role in mediating leukocyte adhesion under flow.⁶³

In addition to cell adhesion and trafficking, CD9 is also involved in vesicular and cellular fusion (reviewed in Hemler¹²). Functionally, myotubes lacking both CD9 and CD81 or the CD9 partner EWI-F fuse with a higher frequency than normal myotubes, suggesting that CD9 expression prevents inappropriate fusion of myotubes.⁶⁴ CD9 has also a regulatory role in canine distemper virus and human immunodeficient virus (HIV)-1-induced cell-cell fusion.⁶⁵⁻⁶⁷ The CD9 downregulation increased the Env-mediated syncytia formation and HIV-1 entry, while overexpression of CD9 rendered cells less susceptible to syncytia formation and viral entry.⁶⁵ Its expression in oocytes (and spermatozoa) is essential for the occurrence of sperm-egg fusion, a process involving the CD9-associated integrin $\alpha 6\beta 1$ and the sperm ADAM2 (β -fertilin) among other players.⁶⁸⁻⁷⁴ The role of integrin $\alpha 6\beta 1$ was nonetheless challenged.⁷⁵ Thus, CD9-deficient oocytes do not fuse properly with sperm during fertilization and, as a consequence, CD9 knockout mice have reduced fertility.^{69,70,76} Altogether, the presence of CD9 in the microvillar membrane of oocytes, its apparent role in maintaining the normal shape of microvilli,⁵⁵ and the observation that CD9 deletion is associated with a decreased density of microvilli on the oocyte surface⁷⁷ suggest that microvillus-associated CD9 plays a key role in egg-sperm fusion during mammalian fertilization. At the molecular level, Inoue and colleagues

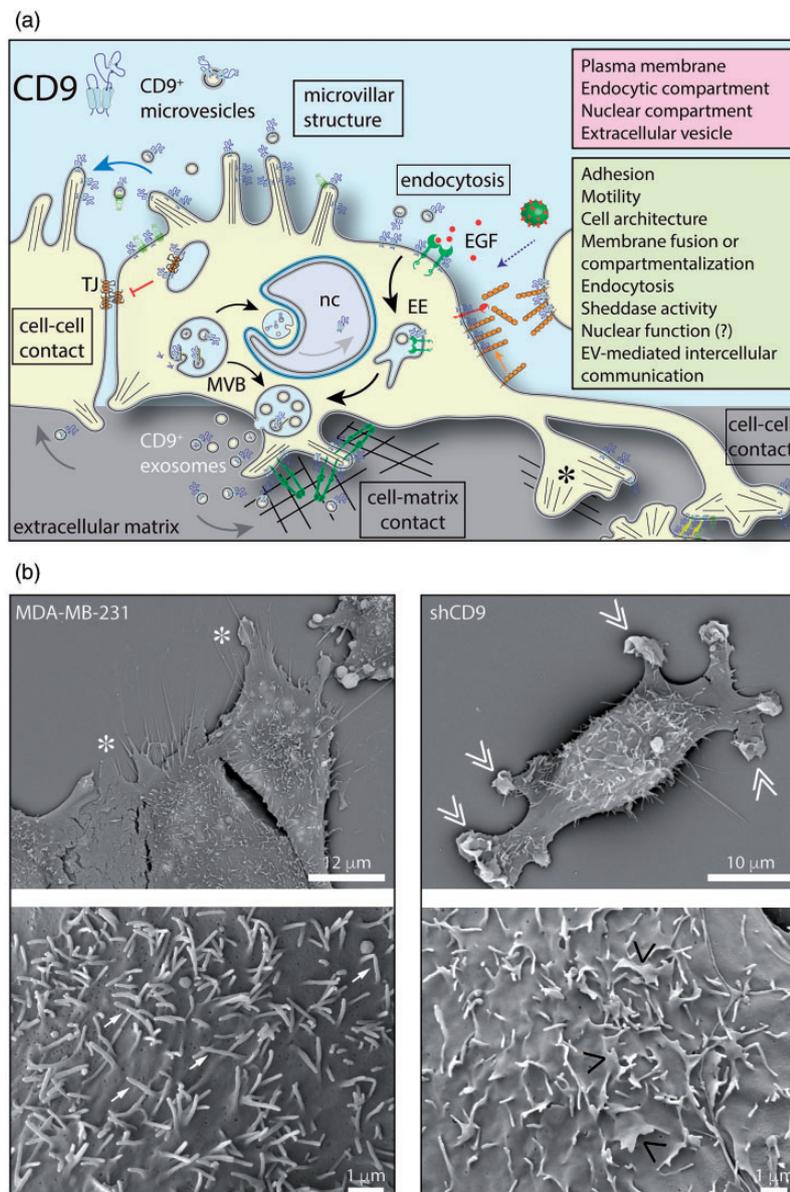


Figure 2. Cellular expression of CD9 and its functional roles. (a) The tetraspanin CD9 is associated with plasma membrane notably protruding structures such as microvillar-like projections, filopodia, and lamellipodia. An intracellular fraction of CD9 is also present in the endosomal system, notably the late endosome/multi-vesicular body (MVB), which correlates to its release in association with exosomes into the extracellular milieu. CD9 is also released from microvilli in association with budding microvesicles. A nuclear pool of CD9 has been reported, but no related function (?) has been described. CD9 can associate with various protein partners and regulate their activities in various cellular processes as indicated. For example, the binding of CD9 to adhesion and/or integrin molecules can suppress or promote cell-cell and cell-matrix interactions as well as cell migration. Similarly, the interaction of CD9 with claudin-1 could affect the formation of the tight junction (TJ), and favor epithelial-mesenchymal transition, or EGFR, and attenuate the EGF-EGFR signaling pathway. CD9 located on the cell surface could promote the endocytosis of CD9-positive EVs or regulate the entry of the virus or cell-cell fusion. EVs can play a role in intercellular communication. CD9 may regulate the sheddase activity of certain cell surface enzymes. (b) Silencing CD9 in the MDA-MB-231 (MDA) breast cancer cell line alters the plasma membrane. Scanning electron microscopy revealed that the cell border and microvillar-like structures at the dorsal membrane of MDA cells (left panels, asterisk and arrow, respectively) are altered in CD9-deficient MDA cells (shCD9, right panels, double and single pointing angle quotation mark, respectively). Cell culture conditions and other methods are described in Rappa *et al.*⁶² EE: early endosome; EGF: epidermal growth factor; nc: nucleus. Scale bars are indicated.

suggested that CD9 is crucial for the surface compartmentalization of GPI-anchored proteins, such as the sperm Izumo1 receptor JUNO, favoring gamete membrane fusion.⁷⁸ Crystal structure studies of CD9 have revealed that the LEL region of CD9 is critically involved in sperm-egg fusion.⁵⁹

All in all, these few examples illustrate the wide range of physiological functions mediated by the various

interactions of CD9 and its membrane partners. For a more exhaustive list of CD9 protein partners and the physiological impact of these interactions, we invite readers to consult the review by Reyes and colleagues and references therein.³ In addition, as a potential membrane receptor, murine CD9 is thought to act as a receptor for pregnancy-specific glycoproteins 17 and 19, via its EC2 domain.^{79,80}

Similarly, it may act as an alternative receptor for interleukin-16 (IL-16), a pro-inflammatory cytokine promoting cell motility, as recently supported by CRISPR/Cas-mediated ablation of the *CD9* gene.^{8,81,82} CD9 may also stimulate the receptor activity of heparin-binding EGF-like growth factor for diphtheria toxin,⁸³ or play a role in MERS-coronavirus cell entry by bringing the cell surface glycoprotein dipeptidyl peptidase 4 (DPP4, CD26), MERS-coronavirus receptor, to a membrane-fusion activating protease (transmembrane protease serine 2, TMPRSS2), forming a cell surface complex that stimulates rapid and effective infection.⁸⁴

CD9 and extracellular membrane vesicles

CD9 is found not only at the cell surface, but also at the membrane of EVs^{85–89} (Figure 2(a)). EVs are classified according to their biogenesis as exosomes when derived from multivesicular bodies or as ectosomes/microvesicles when originating directly from the plasma membrane.⁹⁰ The heterogeneity of EVs is reflected by their size that varies considerably (from ~40 nm to few microns), exosomes being the smaller entities (~40–100 nm).^{91,92} For more details on the mechanisms underlying the formation of exosomes or microvesicles, readers are invited to consult several excellent reviews.^{93,94} EVs are implicated in intercellular communication in both healthy and disease states.^{95–98} They act as vehicles for the intercellular transfer of bioactive membranous and cytosolic molecules such as proteins, lipids, and various types of RNAs.^{99–101} EVs can be isolated from various body fluids such as blood, urine, saliva, tears, seminal fluid, cerebrospinal fluid, and malignant ascites. In cancer, the amount of EVs associated with a given fluid is often increased.^{102–104} Cancer-associated EVs are associated with the development of the tumor premetastatic niche, a distant site that has become transformed into a more favorable environment for metastasizing tumor cells to settle and grow in the presence of neo-angiogenesis.^{105–107} The high abundance of CD9 and other tetraspanin proteins (e.g. CD63, CD81) on the EV membrane has made them classical markers for the characterization of the EVs (notably exosomes) found in various physiological fluids.¹⁰⁸ Moreover, they might participate in the formation and the general composition of EVs or their cellular uptake.⁸⁵ In this context, we have shown that CD9 knock-down in EVs released by breast cancer cells and/or recipient cells strongly reduces EV endocytosis.¹⁰⁹ CD9-positive EVs were also reported to play a major role in the transfer of molecules between epididymal cells and spermatozoa, leading to the maturation of the latter.¹¹⁰ In mice, sperm fusion properties are conferred by the CD9-positive EVs released from eggs.¹¹¹

In agreement with the involvement of TEMs in modulating internalization and recycling of plasma membrane proteins, it has been shown that different members of the tetraspanin family regulate protein sorting in EVs, especially exosomes.⁸⁵ Through its association with E-cadherin and β -catenin, CD9 is instrumental in the cellular export of β -catenin by exosomes, which could modulate the wnt signaling pathway¹¹² (Figure 2(a)). Similarly, the effective

incorporation of CD10 metallopeptidase into EVs can be stimulated by its interaction with CD9.¹¹³ The peptidase activity of this enzyme could regulate the extracellular matrix especially when EVs are released into a particular microenvironment such as bone marrow, which is sensitive to cell transformation. Buschow *et al.* have found that CD9 on EVs, especially exosomes, can influence antigen presentation, possibly through the transfer of MHC-peptide complexes.¹¹⁴ In fact, antigen-loaded dendritic cells generate multivesicular bodies with light intraluminal vesicles carrying MHC II and CD9 that are afterward secreted as exosomes and transferred to interacting T cells.

Cytoplasmic and nuclear CD9

In addition to its location at the cell membrane and EVs, CD9 has been reported in the endocytic compartment and in the nucleus (Figure 2(a)).^{86,109} The presence of CD9 in early endosomes and late endosomes/multivesicular bodies is consistent with its release in association with exosomes. Increased cytoplasmic CD9 levels in some cancers may reflect an increase in the release of CD9-positive EVs and may be associated with enhanced malignancy. In fact, Houle *et al.* showed in epithelial ovarian carcinoma a shift in the subcellular localization of CD9 from the plasma membrane to the cytoplasm in grade 3 tumors compared to grade 1 tumors.¹¹⁵ An inverse relationship between tumor grade and CD9 expression was also noted with a low expression in high-grade tumors and metastases. In patients with squamous cell carcinoma of the head and neck, Mhawech *et al.* reported that the impact of CD9 expression on disease-free survival was greater in the subgroup with both membranous and cytoplasmic patterns compared to the subgroup with only a membranous pattern.¹¹⁶ Along with its cytoplasmic localization, we found that CD9 is also present in cell nuclei as observed in primary ductal breast carcinoma patient specimens.⁸⁶ Approximately 40% of total CD9 cell fluorescence was associated with the nuclear compartment. Although the function of CD9 inside the nucleus remains unclear, the nuclear pool of CD9 may contribute to mitotic processes since CD9 depletion or exposure of breast cancer cells to an anti-CD9 monoclonal antibody (Ab) has resulted in polynucleation and multipolar mitosis.⁸⁶ Further research is needed with a larger cohort of samples to determine the potential of the nuclear pool of CD9 as a biological prognostic tool for ductal carcinoma of the breast. The mechanism underlying the delivery of CD9 to the nuclear compartment remains to be characterized; however, our observation showing the transfer of CD9 associated with EVs to the nucleus of recipient cells provided an indication of this translocation. To reach the nuclear compartment, EV-associated CD9 might use a novel intracellular pathway where the endocytosed EVs are transported in the nucleoplasmic reticulum via late endosomes/multivesicular bodies.^{109,117,118} Divalent anti-CD9 Ab can stimulate the uptake of CD9-positive EVs and CD9 accumulation in the nuclear compartment.¹⁰⁹ Although to the best of our knowledge no other study has reported the nuclear localization of CD9, the CD9-binding partner EWI-2 was also found in the nuclear

compartment.^{86,119} As there is an interdependence between these proteins, their co-location in the nucleus is conceivable, and further studies should dissect this issue.

CD9 in neoplastic diseases

CD9 is implicated in various diseases and pathological conditions, such as inflammation, viral and bacterial infections, cancer, and chronic lung allograft dysfunction (reviewed in Brosseau *et al.*¹²⁰). In the next sections, we will focus on cancer, where CD9 has been extensively studied.^{10,121} Our database search was performed using as queries either CD9, motility-related protein-1, anti-CD9, or CD9 antibody alone or in combination with motility, migration, invasion, adhesion, morphology, cell proliferation, differentiation, cancer, tumor, metastasis, angiogenesis, immune, patient, extracellular vesicle, and exosome. Although CD9 was initially considered as a tumor suppressor,⁹ the pro-tumorigenic and pro-metastatic function of CD9 has recently been established in several cancer models (Table 1).^{8,122} The association of CD9 with different protein partners and its expression levels within TEMs could explain these diverse and sometimes opposite functions observed in distinct cell types, notably in transformed cells.⁹

As the immune system contributes to the prevention of tumor formation, growth, and metastasis, the broad expression of CD9 in different immune cell types and its participation in TEM organization result in CD9 playing an important role in shaping anti-tumor immunity. Its potential immuno-suppressive or immuno-stimulatory role depends on the different types of immune cells present in the tumor niche.^{3,123} Thus, in patients with metastatic melanoma, CD9 expression on NK cells was observed to correlate with serum levels of TGF- β , while CD9 was absent on NK cells in healthy controls.¹²⁴ In addition, CD9 upregulation on NK cells after TGF- β incubation led to immunosuppressive NK cells, suggesting that CD9 has an immunosuppressive role and its targeting may be beneficial to cancer patients.¹²⁴ CD9 is also a phenotypic marker for B-regulatory cells (Bregs) that are known to produce large amounts of IL-10 and TGF- β , which inhibit effector immune cells, thereby suppressing antitumor immunity.^{125,126} Although the relationship between CD9, IL-10, and TGF- β is unclear, targeting CD9 on specific immune subsets in the tumor microenvironment may have therapeutic potential.

CD9 as tumor growth and progression suppressor

Earlier clinical studies based on immunohistochemistry and patient prognosis as well as experimental studies where CD9 levels were manipulated in tumor cell lines and/or animal models have correlated CD9 expression levels with cancer aggressiveness.⁹ Here we will summarize studies conducted in different types of prostate, lung, colon, breast, pancreatic, and mesothelioma cancers that have suggested a role for CD9 as a suppressor of tumor growth and progression, primarily by inhibiting cancer

cell motility and promoting adhesion to the surrounding cells and extracellular matrix (Table 1).

In patients with prostate carcinoma, cancer progression has been accompanied by a decrease in CD9 levels due to deletions or mutations in its transcript with a significant difference between clinically localized and advanced disease, confirming in a clinical setting that CD9 inactivation may play an important role in prostate cancer progression.¹²⁷ Increase in prostate cancer CD9 expression was observed in patients undergoing androgen therapy.¹²⁸ To decipher the effects of endogenous CD9 on both prostate cancer initiation and progression, Copeland *et al.* crossed CD9 knockout mice with transgenic adenocarcinoma of mouse prostate (TRAMP) mice—a model of *de novo* developing and spontaneously metastasizing prostate cancer. The ablation of CD9 significantly increased liver metastasis, demonstrating the role of CD9 as a progression suppressor.¹²⁹

Several studies in lung carcinoma patients have found evidence of CD9-induced suppression of tumor growth and/or progression, although many of them did not investigate the molecular mechanism(s) responsible for those effects. Lung cancer is generally classified into non-small cell lung cancer (NSCLC) and highly malignant small cell lung cancer (SCLC). Compared with NSCLC patients, the prognosis of SCLC patients is poor because their tumors metastasize extremely early.¹³⁰ Utilizing an orthotopic NSCLC model, Takeda *et al.* found that overexpression of CD9 in epidermoid Lewis lung carcinoma cells inhibited lymph node metastasis without suppressing growth at the implantation site, suggesting that inhibition of cell motility was responsible for the observed effects.¹³¹ The CD9 ectopic expression in the human lung adenocarcinoma cell line MAC10 suppressed cell motility and inhibited tumor growth, with the effect on cell motility being dependent on the CD9 expression level.¹³² Contrary to the less aggressive NSCLC, in most SCLC lines analyzed by Funakoshi *et al.*, CD9 was absent or expressed at low levels and its ectopic expression suppressed the integrin β_1 -dependent motility.¹³³ Similarly, it was reported that the CD9 ectopic overexpression suppressed neurite-like process outgrowth and promoted apoptotic death of SCLC cells, while its absence contributed to post-adhesive morphologic differentiation, survival, and matrix metalloprotease-2 (MMP-2) production via phosphoinositide 3-kinase (PI3K)/Akt pathway.¹³⁰

Other studies in colon carcinoma found a potential tumor suppressor role for CD9. In human colon carcinoma, CD9 suppresses the primary growth by increasing the integrin-mediated cell adhesion through a mechanism involving β_1 integrin clustering,¹³⁴ while the examination of tumor surgical samples revealed that CD9 was strongly expressed at the primary site, compared to the low levels in metastases.¹³⁵ In the latter study, CD9 expression levels were correlated with cell motility, with cancer cells derived from the primary site showing a higher migration potential than cells derived from metastasis. Likewise, the decrease in CD9 expression induced by the transfection of miR-518f-5p in the triple-negative breast cancer cell line MDA-MB-231 (hereafter MDA) increased cell migration *in vitro*.¹³⁶

Table 1. A non-exhaustive list of studies showing tumor suppressor or pro-tumorigenic activities of CD9 in cancer.

Impact on cancer	Cancer type	Potential mechanism	References
Tumor suppressor activity	Prostate carcinoma	<ul style="list-style-type: none"> CD9 downregulation during prostate cancer progression often due to deletions or mutations in its transcript CD9 expression increased upon androgen therapy Ablation of CD9 had no detectable effect on <i>de novo</i> primary tumor onset, but increased liver metastases Inverse relationship of CD9 with CD151 in EVs; CD9^{low} and CD151^{high} EV populations have increased TGF-β-induced protein and several subunits of the proteasome complex 	127–129,145
	Lung carcinoma (NSCLC)	<ul style="list-style-type: none"> Inhibition of cell motility and invasiveness 	131,132
	Lung carcinoma (SCLC)	<ul style="list-style-type: none"> Absence of CD9 contributed to differentiation and MMP-2 production via PI3K/Akt pathway, while ectopic expression of CD9 suppressed neurite-like process outgrowth and promoted apoptotic death Reduction in cell motility through association with β1 integrin 	130,133
	Colon carcinoma	<ul style="list-style-type: none"> Ectopic expression of CD9 enhanced β1 integrin-dependent adhesion and inhibition of cell growth 	134,135
	Breast carcinoma	<ul style="list-style-type: none"> CD9 suppression resulted in increased motility CD9 suppression resulted in decreased spread and increased motility, attributed to specific CD9-mediated control of the localization of talin, a critical regulator of integrin activation, to focal adhesion 	136–141
	Mesothelioma	<ul style="list-style-type: none"> CD9: favorable prognostic marker for inhibition of cell migration CD9 and CD26 co-modulated with each other. Depletion of CD26 led to an increase in CD9 and <i>vice versa</i>. CD9 depletion led to enhanced invasiveness. CD9 negatively regulated tumor cell invasion by reducing the level of CD26-α5β1 integrin complex 	143,144
	Gastric carcinoma	<ul style="list-style-type: none"> In metastatic gastric cancer tissues, LSD1 deletion suppressed gastric cancer migration by decreasing intracellular miR-142-5p, which led to the upregulation of CD9 	205
	Hepatocellular carcinoma	<ul style="list-style-type: none"> The upregulation of CD9 suppressed carcinoma development via c-Jun N-terminal kinase (JNK) signaling pathway 	206
	Fibrosarcoma	<ul style="list-style-type: none"> Through its association with EWI-2/EWI-F/β1 complex and EGFR pathway, and the activation of Akt and p38 signaling, CD9 promoted cell apoptosis and cell spreading, and inhibited cell adhesion, migration, and cell colony formation 	207
	Cervical carcinoma	<ul style="list-style-type: none"> Strong local expression of CD9 at sites of trans-endothelial invasion is associated with progression of cervical carcinomas 	208
Pro-tumorigenic and metastatic activities	Lung carcinoma (NSCLC)	<ul style="list-style-type: none"> CD9 increased cell migration to chemoattractants including IL-16 CD9 is a factor of poor prognosis 	8,209
	Ovarian carcinoma	<ul style="list-style-type: none"> CD9 overexpression induced TNF-α, IL-6, and IL-8 and activation of the NF-κB signaling pathway CD9 overexpressed in primary ovarian tumors versus normal human ovarian surface epithelium 	150–152
	Breast carcinoma	<ul style="list-style-type: none"> CD9 expression associated with worse overall and disease-free survival in patients with invasive lobular carcinoma CD9 overexpression promoted the development of bone metastases CD9 on stromal immune cells was associated with a longer disease-free survival, while CD9 on tumor cells correlated with both lymph node and distant metastases In breast cancer cell lines, CD9 sequestered and destabilized claudin-1, preventing its association with the tight junctions and resulting in increased epithelial-mesenchymal transition and migration and thus promoting tumor progression 	62,122,148,149,155,210

(continued)

Table 1. Continued.

Impact on cancer	Cancer type	Potential mechanism	References
	Gastric carcinoma	<ul style="list-style-type: none"> Silencing CD9 in MDA-MB-231 cells affected the proper formation of plasma membrane protrusions, and reduced cell migration CD9/CD81-silenced cells showed delayed α3β1-dependent cell spreading and impaired directed motility and altered front-rear cell morphology, linked to breast carcinoma progression and metastasis CD9 expression was greater in tissues from primary and metastatic gastric carcinoma than in surrounding stroma and higher expression of CD9 correlated with vessel invasion, lymph node metastasis, and advanced stage CD9 positivity correlated with the highly malignant scirrhous-type, with lymph node metastasis and venous invasion. CD9-positive EVs from cancer-associated fibroblasts stimulated the migration and invasion of cancer cells 	146,147
	Pancreatic carcinoma	<ul style="list-style-type: none"> CD9 identified a subpopulation of pancreatic cancer stem cells capable of initiating the carcinoma and give rise to its heterogeneity. CD9 modulated glutamine metabolism to fuel tumor growth 	211
	Multiple myeloma	<ul style="list-style-type: none"> CD9 expression was upregulated <i>in vivo</i> by the close interaction of myeloma cells with bone marrow endothelial cells, resulting in trans-endothelial invasion 	212

NSCLC: non-small cell lung cancer; SCLC: small-cell lung cancer.

Powner *et al.* also reported that CD9 deficiency in MDA cells was correlated with decreased cell spread and increased motility. They attributed these observations to a specific CD9-mediated control of the subcellular localization of talin, a critical regulator of integrin activation, to focal adhesions.¹³⁷ In patients with ductal carcinoma of the breast, CD9 expression in tumor tissues was inversely associated with clinical stage, and was lower in metastatic lymph nodes than in primary breast cancers.¹³⁸ The overall and disease-free survival rates of patients with CD9^{high} breast cancers were significantly higher than those of patients with CD9^{low}.¹³⁹ In addition, CD9 positivity correlated better with disease-free survival than estrogen receptor, tumor, and lymph node status.¹³⁹ The authors suggested that CD9 screening could identify patients with node-negative breast cancer who are at high risk for early disease recurrence. The inverse correlation between CD9 level and stage of ductal breast carcinoma was confirmed,¹⁴⁰ showing a significantly higher relapse-free survival in CD9-positive cases than in CD9-negative cases. The same study revealed a repression of CD9 expression in metastatic cells compared to that of the corresponding primary tumor cells. Finally, low CD9 expression was linked to poor prognosis with recurrence in breast cancer patients.¹⁴¹

In adenocarcinomas of the pancreas, CD9 gene expression has been associated with lymph node and pathological status and inversely associated with histopathological grading.¹⁴² The survival rate of patients with tumors with reduced CD9 mRNA level was lower than that of patients with CD9-positive tumors and, by multivariate analysis, CD9 status was found to be the most significant together with CD82 transcripts.¹⁴² CD9 expression was also found to be a favorable prognostic marker for patients with

malignant mesothelioma.¹⁴³ This is probably related to the observation that CD26, whose expression correlates with disease aggressiveness and invasive potential of selected malignancies, and CD9 co-modulated each other in malignant mesothelioma cell lines.¹⁴⁴ The interdependence of CD9 and CD26 was shown by the depletion of CD26 that led to an increase in CD9 and *vice versa*.¹⁴⁴ The overexpression of CD26 or CD9 gene depletion led to enhanced invasiveness, while CD26 gene depletion resulted in reduced invasive potential.¹⁴⁴ The authors suggested that CD26 potentiates tumor cell invasion through its interaction with α 5 β 1 integrin, and CD9 negatively regulates this process by reducing the level of CD26- α 5 β 1 integrin complex through an inverse correlation between CD9 and CD26 expression.¹⁴⁴

In addition to a role for plasma membrane-associated CD9 in cancer inhibition, EV-associated CD9 should also be considered. It was found that EVs from prostate cells with reduced CD9 or increased CD151 enhanced migratory and invasive capabilities of non-tumorigenic prostate cells.¹⁴⁵ The authors found that changes in CD9 and CD151 abundance caused a significant alteration in the EV proteome. In particular, in CD9^{low} and CD151^{high} EV populations, an increased expression of TGF- β -induced protein ig-h3 (TGFBI), an integrin-binding partner able to regulate cell attachment to the extracellular matrix, and the β subunits (4, 5, 6, and 7) of the proteasome complex was observed.¹⁴⁵ Since the degradation of extracellular matrix components is important for the formation of the premetastatic niche, the selective enrichment of proteins of the proteasome degradation pathways in tumorigenic EVs points towards a suppressive role of CD9. Since tetraspanins influence the sorting of cargo into EVs (see above), the authors

suggested that these functional differences between CD9^{low} and CD151^{high} EVs may be attributed to a selective recruitment of cargo molecules that may act on EV target cells to affect migration and invasion, two functions important for the metastatic process.¹⁴⁵

CD9 as a cancer promoter

Clinical and experimental studies have demonstrated that CD9 leads to increased proliferation, migration, and survival of several histotypes of cancer cells, presumably through CD9-induced changes in the organization of TEMs and/or affecting specific signaling pathways^{10,121} (Table 1). In contrast to its role as a tumor suppressor, as discussed in the previous section, CD9 expression has been described as a poor prognostic factor in NSCLC patients. Blake *et al.* found that CD9 increased cell migration towards chemoattractants, including IL-16, in a model of NSCLC, the A549 cell line.⁸ Prostate cancer progression to castration refractory disease is associated with anomalous transcriptional activity of the androgen receptor. CD9 knockdown inhibited the expression of androgen receptor-responsive genes, leading the authors to hypothesize that CD9 is involved in the activation of ligand-independent activity of the androgen-receptor.¹¹⁹ An inter-dependence between CD9 and EWI-2 has been also observed, suggesting that activation of CD9 by the EWI-2 down-regulation may be involved in the development of castration-resistant prostate cancer.¹¹⁹

Two independent reports have revealed a cancer promoter role of CD9 in gastric carcinoma. In the first study, it was found that CD9 expression was greater in tissues from primary and metastatic gastric carcinoma than in surrounding stromal non-cancerous areas from the same patient, and that higher expression of CD9 correlated with vessel invasion, lymph node metastasis, and advanced stage.¹⁴⁶ In the second study with a large number of cases of patients with gastric cancer, CD9 positivity was significantly correlated with the highly malignant scirrhous-type, with lymph node metastasis and venous invasion.¹⁴⁷ Interestingly, CD9-positive EVs from cancer-associated fibroblasts stimulated the migration and invasion of scirrhous-type gastric cancer cells, the latter effects being prevented by CD9 small interfering RNA or anti-CD9 Abs.¹⁴⁷ Neither of the two studies investigated the molecular interactions of CD9 resulting in the described pro-metastatic effects.

The CD9 overexpression in human breast cancer was found to promote the development of bone metastases.¹⁴⁸ Since the expression of CD9 on tumor cells and on those in the local tumor microenvironment may have different effects on tumor growth and progression, Kwon *et al.* analyzed independently CD9 levels in tumor and stromal immune cells of patients with invasive breast carcinoma.¹⁴⁹ They found that CD9 on stromal immune cells was associated with a longer disease-free survival, while CD9 on tumor cells correlated with both lymph node and distant metastases. In particular, subgroup analysis revealed that CD9 expression on tumor cells was a biomarker for poor prognosis in luminal A invasive breast carcinoma, whereas CD9 expression on stromal immune cells was a marker of

good prognosis in luminal B (HER2-negative) tumors. In patients with invasive lobular carcinoma, CD9 expression was associated with worse overall and disease-free survival compared to patients without CD9 expression, indicating that in patients with invasive lobular carcinoma, CD9 may be a significant and independent prognostic factor.¹²²

Specific signaling pathways also contributed to the pro-tumorigenic function of CD9. The overexpression of CD9 in ovarian carcinoma tissues and cell lines was shown to induce the expression of the pro-inflammatory cytokine tumor necrosis factor (TNF)- α , IL-6 and IL-8, and activation of the nuclear factor kappa B (NF- κ B)-signaling pathway.¹⁵⁰ A comprehensive analysis of gene expression in primary human ovarian tumors versus normal ovarian surface epithelium has revealed a series of overexpressed potential markers, including CD9. These data were confirmed by other studies, where using immunohistochemistry, CD9 was found to be overexpressed in ovarian carcinoma tissues compared with benign ovarian surface epithelium and benign cysts.^{151,152} Interestingly, Murayama *et al.* demonstrated the physical and functional association of CD9 with EGFR in the MKN-28 gastric cancer cell line and in numerous others, including CD9/EGFR-transfected ones.⁵⁴ They provided evidences that CD9 increased the internalization of cell surface EGFR and reduced the EGF-EGFR-induced signals, suggesting that CD9 not only associates with EGFR but also affects EGF-induced signaling in EGF-responsive cancer cells⁵⁴ (Figure 2(a)).

Other molecular and cellular mechanisms for the pro-malignant function of CD9 have been proposed. One of them relies on the interaction of CD9 with claudin-1, an important component of tight junctions¹⁵³ (reviewed in Zhou *et al.*¹⁵⁴). In breast cancer cell lines such as MDA and MCF-7, CD9-claudin-1 interaction seems to occur in the cytoplasmic compartment (may be in the endosomes; Figure 2(a)), where claudin-1 is sequestered and destabilized, preventing its association with the tight junctions.¹⁵³ The latter could lead to an increase of epithelial-mesenchymal transition and migration, thereby promoting tumor progression.¹⁵⁵ The CD9-mediated malignant transformation can also be based on its impact on the general morphology of cells, including PMPs such as filopodia, magnupodia, and lamellipodia that modulate the communication between cancer cells and local stroma as well as the cellular processes of intra- and extra-vasation, regulating the formation of cancer metastases.¹⁵⁶⁻¹⁵⁹ For forward movement to occur, a complex, dynamic, and coordinated phenomenon must happen under the action of the actin network located beneath the plasma membrane. The formation of cellular projections (e.g. filopodia, lamellipodia) on the front edge, adhesion to the underlying extracellular matrix and detachment of the rear pole would contribute, together with the contraction of the cytoskeleton, to pull the cell forward. In that context, our group reported that CD9-positive PMPs play a role in the invasiveness of breast carcinoma cells when co-cultured with bone marrow-derived multipotent mesenchymal stromal cells. CD9-positive PMPs and CD9 itself could also promote the formation of cancer/mesenchymal stromal cell hybrid cells,⁶² which may confer chemoresistance in breast cancer via a CD9-

dependent mechanism.^{160,161} The small interfering RNA-mediated silencing of CD9 or Ab-mediated inhibition of its activities reduced the invasive capacity *in vitro* and suppressed the metastatic capacity of MDA cells in mouse xenografts.⁶² While MDA cells were spread and created lamellipodia and filopodia in close contact with the extracellular matrix, we observed that CD9-deficient cells showed an adhesion impairment, especially at their cell border where numerous membrane ruffle-like structures were observed⁶² (Figure 2(b)). In addition, the individual microvillus-like structures that cover the surface of MDA cells were altered, as they appeared as small ruffles at the dorsal membrane, consistent with the involvement of CD9 in the morphogenesis of microvilli as observed in leukocytes and oocytes (Figure 2(b)). The molecular mechanisms underlying these alterations remain to be identified. Interestingly, a morphological comparison of CD9-positive PMPs in three breast cancer cell lines MDA, MA-11 and MCF-7 revealed that the less metastatic MCF-7 cells had shorter, and less frequent CD9-positive PMPs, than MDA cells, while the MA-11 cells, which have an intermediate metastatic potential, had more CD9-positive PMPs than MCF-7 cells.⁶² Collectively, these observations suggest that CD9 is a key protein for PMP-mediated invasion of cancer cells and, with its association with EVs derived from cancer cells or cancer-associated fibroblasts, there may be a link between these membrane structures, leading to the acquisition of invasive properties of breast cancer cells.^{62,86,162} The latter issue would require further investigation.

Although many reports have shown that CD9 has an impact on cancer, it is worth mentioning that few other studies have found no relationship between CD9 and clinical outcomes (reviewed in Romanska and Berditchevski¹⁶³). For instance, a study using a larger cohort of patients with metastatic ductal breast cancer found no correlation between loss of CD9 expression alone in the invasive component and lymph node status.¹⁶⁴ Likewise, an immunohistochemical examination of breast carcinoma concluded that CD9 is unlikely to provide useful prognostic information for routine use,¹⁶⁵ questioning the clinical relevance of CD9 as a useful indicator of high risk for early disease recurrence in node-negative breast cancer patients.

CD9 as a target of tumor angiogenesis and cancer

Although only a relatively small proportion of tetraspanin proteins is exposed on the cell surface, these molecules have been investigated as therapeutic immunotargets in cancer (see Hemler¹⁶⁶), as shown by the targeting of CD37 in clinical trials for B-cell malignancies.^{167,168} While experimental data suggest that several tetraspanins (CD37, CD151, and tetraspanin 8) are promising candidate therapeutic targets,^{10,167} the relationship between CD9 and the cancer processes is more complex, as highlighted in the previous sections. Moreover, severe side effects, including platelet activation and aggregation, may impede the use of particular divalent Abs against CD9 (see below).

Consequently, the CD9 targeting for the treatment of cancer is still in the pre-clinical phase. We will refer here to CD9 studies using cancer cell lines and/or mouse tumor xenograft models, which have demonstrated the possibility of CD9 targeting (Table 2).

The neutralization of CD9 can be achieved by silencing its expression by different means such as RNA interference or intercepting its protein function with specific nucleic acid-based aptamers or Ab. Of note, the recombinant soluble EC2/LEL domain of CD9 has been shown to inhibit gamete fusion upon its incubation with oocytes or HIV infection of macrophages.¹⁶⁹⁻¹⁷¹ The soluble CD9 LEL may interfere with its homo- and/or hetero-oligomerization with other tetraspanins, thereby disrupting TEM organization and membrane fusion. Similarly, the application of anti-CD9 Ab can interfere with CD9 functions. In some cases, however, Ab mimics the natural ligand-partner; therefore, it may enhance, rather than inhibit, CD9 function.¹⁷² Over the last decades, many anti-CD9 Abs have been developed. It should be noted that the use of different anti-CD9 Abs that recognize distinctive epitopes, either linear or conformation-dependent, could lead to different outcomes, including side effects.¹⁷³ They can disrupt (or stimulate) the dimerization of CD9, its interactions with other membrane proteins notably integrin and adhesion molecules, affect its internalization, and stimulate the apoptosis in target cancer cells. Expression levels of CD9 partners and the TEM organization could also impact the anti-CD9 Ab targeting strategies. In the following section, the name/clone of the anti-CD9 Ab is indicated in brackets. The dual impact of CD9 on cancer progression and tumor angiogenesis has opened the door to the possibility that CD9 is a novel therapeutic target for both events.¹⁷⁴ The implication of CD9 in angiogenesis was suggested by studies in murine models. Thus, mouse CD9 associated with lymphatic endothelial cells facilitated tumor growth in a lung carcinoma xenograft model, as demonstrated after intrathoracic implantation of Lewis lung carcinoma cells in CD9 knockout animals.¹⁷⁵ Therein, lymph node metastases were decreased and accompanied by decreased lymphangiogenesis compared to wild-type mice. Moreover, the human CD9 stimulated the endothelial cell migration *in vitro*, a process inhibited by anti-CD9 Ab (clone ALMA.1).¹⁷⁶ The intravenous administration of Ab (ALB₆, see Boucheix *et al.*¹⁷⁷) directed against CD9 successfully inhibited tumor progression via antiproliferative, proapoptotic, and antiangiogenic effects in human gastric cancer cell xenograft.¹⁷⁸ Similarly, the intravitreal injection of a small interfering RNA or anti-CD9 Ab (ALB₆) was effective to inhibit the laser-induced choroidal neovascularization in mice, suggesting that targeting CD9 may lead to antiangiogenic therapies.¹⁷⁹ Anti-CD9 Abs (VJ1/10, VJ1/20, and GR2110) were found to specifically inhibit the trans-endothelial migration of human melanoma cells; the inhibitory effect was likely caused by a strengthening of CD9-mediated interactions between melanoma and endothelial cells.¹⁸⁰ Indirectly, targeting the CD9-interacting partner EWI-F may also find some application for inhibition of tumor-associated angiogenesis and tumor growth.¹⁸¹

Table 2. Anti-angiogenic and anti-cancer effects of CD9-targeting antibodies.

Impact on cancer	Pre-clinical model	Effects ^a	References	
Anti-angiogenic therapy	<i>In vitro</i> wound repair	• Ab anti-CD9 Ab (ALMA.1) inhibited endothelial cell migration	176	
	Gastric cancer xenograft	• Anti-CD9 Ab (ALB ₆) decreased growth and angiogenesis	178	
	Laser-induced choroidal neovascularization in mice	• Intravitreal injection of a small interfering RNA or anti-CD9 Ab (ALB ₆) inhibited laser-induced neovascularization	179	
Anti-cancer cell therapy	Gastrointestinal cell lines	• Ab anti-CD9 Ab (ALB ₆) stimulated the endocytosis of EGFR and attenuated EGFR signaling	54	
	Breast cancer cell lines	• Anti-CD9 Ab (ALB ₆ or TP82) stimulated apoptosis through the MAP kinase pathway and caspase cascade	62	
	Colon carcinoma xenograft and cell lines	• Anti-CD9 Ab (P1/33/2) inhibited the invasion of stromal cells by the breast cancer cells	134,135	
	Ovarian carcinoma	• Anti-CD9 Ab (PAINS-13) that disrupts the association of CD9 with β1 integrin inhibited the growth of a human colon carcinoma xenograft more effectively than another anti-CD9 Ab (VJ1/20) or anti-integrin Ab	150	
	Melanoma cell line	• Anti-CD9 Ab (ALB ₆) inhibited cell motility without affecting cellular adhesion	180	
	Patients with B-acute lymphoblastic leukemia	• Anti-CD9 Ab (VJI/10, VJI/20, and GR2110) inhibited the trans-endothelial migration of melanoma cells	198,200	
	Leukemic cell line	• Anti-CD9 Ab (AT1412) induced Ab-dependent cellular cytotoxicity in all B-ALL samples to which it bound and in none of the T-ALL samples	173	
	Acute lymphoblastic leukemia xenografts	• Anti-CD9 Ab (AT1412) did not induce thrombosis	213	
			• Anti-CD9 Ab (PAINS-13) recognized a conformation-dependent epitope and disrupted the association of CD9 with β1 integrin	
			• Anti-CD9 Ab (ALB ₆) suppressed disease progression in NOD/SCID mice xenografted with CD9-positive cell lines and primary leukemic blasts from patients with high-risk and refractory ALL through inhibition of leukemic cell proliferation and activation of p38. In combination with chemotherapeutic agents, anti-CD9 Ab increased apoptotic death	

^aThe name/clone of anti-CD9 Ab is indicated in brackets.

The tumor suppressor activity of CD9 can be stimulated by addition of anti-CD9 Ab. As described above in cases of colon carcinoma, anti-CD9 Ab (VJ1/20) can stimulate the β1 integrin clustering, alter morphological characteristics of cells, and thus increase cell adhesion, resulting in inhibition of cell proliferation and tumorigenic capacity, as observed in nude mice.¹³⁴ The anti-CD9 Ab (ALB₆) can also prevent cell migration without affecting cell adhesion.¹³⁵ Thus, anti-CD9 Ab can act at different levels during the metastasis process, including the motility of cancer cells involved in local dissemination from the primary cancer site and adhesive properties for the extravasation to distant sites. The anti-CD9 Ab (ALB₆) can also induce apoptosis in human gastrointestinal cancer cells among others.¹⁸² As a possible mechanism of ALB₆-induced apoptosis, the authors proposed that anti-CD9 Ab activates c-Jun NH2-terminal kinase/stress-activated protein kinase (JNK/SAPK), p38 mitogen-activated-protein kinase (MAPK), and caspase-3. However, the same anti-CD9 Ab (or antisense oligonucleotides directed against CD9) can abrogate human myeloma cell susceptibility to IL-2-activated T cells and NK cell-mediated cytotoxicity.¹⁸³ In ovarian tumors, Hwang *et al.* found that ALB₆ Ab injected into the peritoneum reduced tumor growth in human cancer xenografts.¹⁵⁰ They attributed the effects to the negative impact of Ab on the

oncogenic function of CD9, which was overexpressed, and linked to the induction of expression of pro-inflammatory cytokines and NF-κB-signaling pathway (see above). Altogether, these few examples have highlighted the potential use of anti-CD9 Ab as a biological tool in cancer treatment. More studies are described in Table 2.

In addition to being a direct cellular target, CD9 can be indirectly used to interfere with EV-mediated intercellular communication between cancer cells and their surrounding microenvironment. Given that anti-CD9 Ab can favor the CD9 dimerization and its internalization, oligomerization with its interacting partners or promote the ligation between cells (see below),^{54,109,184,185} we designed a new strategy to block the endocytosis of cancer-derived CD9-positive EVs by recipient/receptor cells notably stromal cells, the target cells in the tumor microenvironment. To that aim, we generated an antigen-binding fragment (Fab fragment) from an anti-CD9 Ab (5H9).¹⁸⁴ The latter, at doses achievable *in vivo*,¹⁸⁶ can saturate CD9 molecules present on the surface of EVs and host cells, including primary mesenchymal stromal cells, and thus interfere with EV endocytosis.¹⁸⁴ The effective response was corroborated by the levels of CD9 expression, particularly on the target/receptor cells. The use of distinct anti-CD9 Fabs produced from different anti-CD9 Ab recognizing diverse CD9

epitopes, could synergistically stimulate the inhibitory effect. Thus, the interception of intercellular communication in the tumor niche using an anti-CD9 Fab, combined with the direct targeting of cancer cells, could lead to the development of novel anti-cancer therapeutic strategies.

Clinical use of anti-CD9 antibodies: Potential toxicity and perspectives

Caution should be exercised when divalent anti-CD9 Ab are proposed to disrupt CD9 cancer promoter function or as delivery vehicles, targeted therapeutic agents, for the potential treatment of cancer, especially with the Ab ligation phenomenon.^{177,187} As the major platelet cell surface protein,^{188,189} CD9, in combination with fibrinogen receptor $\alpha 2\beta 3$ integrin (GPIIb-IIIa) and other tetraspanins, can trigger platelet activation, aggregation, or lysis dependent on the subclass of Ab employed.^{29,30,190–195} Fc receptor found at the surface of platelets can explain this specificity.¹⁹⁶ Kawakatsu *et al.* demonstrated in a primate model that anti-CD9 Ab promotes platelet aggregation leading to fatal pulmonary thrombosis.¹⁹⁷ Therefore, the clinical development of such biological tools should exclude the occurrence of potential toxic events including severe thrombocytopenia and/or thrombocyte aggregation. The broad expression of CD9 in various tissues and organs must also be considered when CD9 is employed as a potential tumor-associated antigenic molecular target. Although its level of expression may be upregulated in some cancers relative to its physiological expression in the host, the use of anti-CD9 Ab, especially when conjugated with toxins or cytotoxic agents, could be harmful.

The development of divalent anti-CD9 Ab that do not induce platelet aggregation or the use of bispecific Abs, monovalent Fab Ab or nanobodies could be beneficial for such therapeutic application and limit the pitfalls of most current anti-CD9 Ab.^{177,198,199} A promising divalent anti-CD9 Ab is the AT1412 clone isolated from survivors of stage IV metastatic melanoma that did not induce platelet aggregation or thrombosis.^{198,200,201} Although not experimentally evaluated, it is conceivable (and perhaps advantageous) that the development of bispecific Abs targeting CD9 and another tumor-associated antigen or one of its interacting partners (e.g. EWI-2,²⁴ EWI-F,²⁰² integrins), notably those involved in cell adhesion or migration, could improve the specific targeting and potentially interfere with cancer progression. It should be noted that such an approach could limit off-target effects. Obviously, the dual targeting of CD9 and its interacting partner should not stimulate but inhibit their joint action. Due to the tumor suppressor role that CD9 frequently plays in certain tumor histotypes, it is also essential to consider that targeting CD9 may actually favor rather than inhibit tumor growth and progression.

It is important to mention that the presence of CD9 on EVs could have a negative impact on the use of anti-CD9 Ab at different levels. First, these nanometer-sized CD9-positive particles can neutralize the injected anti-CD9 Abs (i.e. divalent and monovalent Ab), thus limiting their effect on the target cells. Second, divalent anti-CD9 Ab, in

contrast to monovalent anti-CD9-Fab, may enhance the endocytosis of CD9-positive cancer EVs as well as the nuclear translocation of their cargo in host cells,^{109,184} thus promoting the pro-metastatic effect of EV-mediated tumor-stroma communication.²⁰³ Conversely, CD9-positive EVs may facilitate (and possibly stimulate) internalization of divalent anti-CD9 Ab in non-cancerous host cells and, when conjugated to cytotoxic agents, these tools will be harmful as mentioned above. Overall, EV-associated phenomena would be counterproductive, even fatal, in some circumstances.

In sum, it becomes essential to increase our basic knowledge of CD9, and therefore to continue to dissect its molecular and cellular characteristics in view of developing new clinical and safety tools against this tetraspanin protein. Among these, it will be interesting to fully determine the impact of post-translational modifications (e.g. glycosylation and lipidation) on CD9 and its binding to proteins and lipid interactors.^{199,204} Similarly, the exact level of CD9 expression in a given cell type and under specific conditions would require further study, as a defined threshold could determine its relationship with TEMs and its involvement in certain molecular and cellular pathways, including the formation and possibly the composition of PMPs and EVs.

Conclusions

Although the degree of involvement of CD9 in carcinogenesis is not yet clear or even contradictory, a growing body of evidence suggests that CD9 may have clinical significance in at least some malignancies where its role as a promoter is highlighted. In this context, the multiple lateral interactions of CD9 within TEMs that regulate cell and extracellular matrix adhesion and migration need to be further investigated. It is conceivable that CD9-based clinical targeting of cancer using tools against CD9 and perhaps specific interacting partners will need to be personalized. The association of CD9 with EVs should be considered when applying a monovalent or divalent anti-CD9 Ab as a therapeutic agent. This latter concern is also valid for other tetraspanins associated with EVs.

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AL, MLR, JK, DC, and GP conceived and wrote the manuscript. JK prepared the figures.

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